

Disclosure of information on measure imposed on member of management body of credit institution

Supervisory measure against a member of the management body of a credit institution pursuant to point 1 of the first paragraph of Article 55 of the ZBan-2

Information on person responsible for breach	
Business name and registered office of legal person	-
Information on breach	
Description of circumstances and conduct entailing breach of ZBan-2 or Regulation (EU) No 575/2013	A member of the management body of a credit institution breached the first paragraph of Article 137 of the ZBan-2 in connection with point 1 of the first paragraph of Article 55 of the ZBan-2 (18 February 2019¹).
Nature of identified breaches	Breach of duties and responsibilities of management body members (point 1 of first paragraph of Article 55 of ZBan-2)
Operational part of the decision by which the relevant proceedings are completed	
<p>- In conducting supervision of ■, registration number ■ (hereinafter: the credit institution), the Bank of Slovenia found that ■, EMŠO ■, permanently resident at ■:</p> <p>- breached the first paragraph of Article 137 of the ZBan-2 in connection with point 1 of the first paragraph of Article 55 of the ZBan-2, by sending an email on ■ ■ to a member of the management body of ■ calling for the omission of the signing of a contract with a provider of internal auditing tasks selected and approved at the ■ session of the supervisory board of ■ of ■, as a result of which the credit institution had no provider of internal auditing tasks between ■ and ■, as one of the key functions of stable internal governance arrangements at a bank.</p>	
Information as to whether judicial protection proceedings have been initiated against the decision in accordance with the ZBan-2	
No administrative dispute has been lodged pursuant to the declaratory decision.	

¹ Date of issue of the decision establishing that the breaches had been rectified (PBH-24.50-012/18-008)