### THIS TEXT IS UNOFFICIAL TRANSLATION AND MAY NOT BE USED AS A BASIS FOR SOLVING ANY DISPUTE

• Official Gazette of the Republic of Slovenia, No. 146/22 of 25 November 2022 (in force since 26 November 2022

Pursuant to the third paragraph of Article 13 of the Banking Act (Official Gazette of the Republic of Slovenia, Nos. 92/21 and 123/21 [ZBNIP]; hereinafter: the ZBan-3), and the first paragraph of Article 31 of the Banka Slovenije Act (Official Gazette of the Republic of Slovenia, Nos. 72/06 [official consolidated version], 59/11 and 55/17), the Governing Board of Banka Slovenije hereby issues the following

#### REGULATION

on the application of the Guidelines on the data collection exercises regarding high earners under Directive 2013/36/EU and under Directive (EU) 2019/2034

## Article 1 (purpose and field of application of guidelines)

- (1) Pursuant to Article 16(1) of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331 of 15 December 2010, p. 12; hereinafter: Regulation (EU) No 1093/2010), on 2 July 2021 the European Banking Authority published the Guidelines on the data collection exercises regarding high earners under Directive 2013/36/EU and under Directive (EU) 2019/2034 (EBA/GL/2022/08; hereinafter: the guidelines) on its website.
- (2) The guidelines specify, in accordance with Article 75(3) of Directive 2013/36/EU, the amendment of Directive 2002/87/EC and the repeal of Directives 2006/48/EC and 2006/49/EC, and Article 34(4) of Directive (EU) 2019/2034 of the European Parliament and of the Council of 27 November 2019 on the prudential supervision of investment firms and amending Directives 2002/87/EC, 2009/65/EC, 2011/61/EU, 2013/36/EU, 2014/59/EU and 2014/65/EU, how these provisions should be implemented concerning the collection of information regarding the individuals per institution and per investment firm remunerated EUR 1 million or more per financial year, ensuring the consistency of the information submitted by institutions and investment firms to competent authorities and by competent authorities to the EBA.
- (3) The guidelines are addressed to:
  - 1. financial institutions as defined in Article 4(1) of Regulation (EU) No 1093/2010 that are institutions as defined in point (3) of Article 4(1) of Regulation (EU) 575/2013, having regard to investment firms subject to Articles 1(2) or 1(5) of Regulation (EU) 2019/2033, and to investment firms as defined in point (1) of Article 4(1) of Directive 2014/65/EU that are subject to Articles 25 and 34 of Directive (EU) 2019/2034; and
  - 2. competent authorities referred to in subpoints (i) and (viii) of point 2 of Article 4 of Regulation (EU) No 1093/2010.

# Article 2 (content of regulation and scope of application of guidelines)

- (1) By virtue of this regulation Banka Slovenije sets out the application of the guidelines and all their future amendments, unless stipulated otherwise by Banka Slovenije in respect of a particular amendment to the guidelines, to:
  - 1. banks and savings banks for whose supervision Banka Slovenije is responsible in accordance with the ZBan-3 and Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring

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- specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions (OJ L 287 of 29 October 2013, p. 63) (hereinafter: banks); and
- 2. Banka Slovenije, when in accordance with the ZBan-3 in its role as the competent authority it is exercising supervisory powers and tasks over banks referred to in point 1 of this paragraph.
- (2) Banks referred to in point 1 of the first paragraph of this article shall take full account of the provisions of the guidelines in the parts addressed to banks.
- (3) In exercising its supervisory powers and tasks in accordance with the ZBan-3 and Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012 (OJ L 176 of 27 June 2013, p. 1), Banka Slovenije shall take full account of the provisions of the guidelines in the parts relating to the exercise of the powers and tasks of the competent authority.

# Article 3 (repeal of previous regulation)

On the day that this regulation begins to be applied, the Regulation on the application of the Guidelines on the data collection exercise regarding high earners (Official Gazette of the Republic of Slovenia, No. 53/16) shall cease to be in force.

# Article 4 (entry into force)

This regulation shall enter into force on the day after its publication in the Official Gazette of the Republic of Slovenia, and shall begin to be applied on 31 December 2022.

Ljubljana, 22 November 2022

Boštjan Vasle President, Governing Board of Banka Slovenije